

West Hertfordshire PCT

Enhanced Services Commissioning 2007/08 and 2008/09

Budget-setting

The budget-setting position process for enhanced services is as follows (excerpt from 2007/08 PBC budgets presentation):

GMS Enhanced services - how the PCT set the PCT budget for 2007/09

- Directed (DESS)
 - Old DESSs - Outturn adjusted for known changes
 - New DESSs – best guess at cost
- National (NESs): 2006/07 outturn
- Local (LESs): 2006/07 outturn plus new PBC LES (less transfers to provider arm)

The “new DESSs” referred to are: Access, Choice and Booking, PBC, IM&T.

The DESSs, with the exception of the PBC DES, are commissioned by the Primary Care Contracts team. The LESs (including the PBC LES) have been commissioned by the Locality Teams. Both these teams work for Andrew Parker (Director of Primary Care Commissioning).

The PBC DES budget was set at 95p per patient, to represent the commitment for component 2 of the 2006/07 DES, payable in 2007/08. The actual arrangements for component 2 varied across the 8 former PCTs. Funds will be set aside in 2008/09 to reimburse any outstanding PCT commitments in respect of the 2006/07 PBC DES.

The budget set for the Choose and Book DES was for the residual element of the 2006/07 DES. We did not anticipate the repetition in full of the Choice and Booking DES. This caused a significant financial pressure in 2007/08.

The budget set for the IM&T DES was for the residual element of the 2006/07 DES. The main aim of the PCT budget-setting exercise was to estimate and budget for, at an aggregate level, at least the same level of services as in 2006/07 - other than known changes.

In setting the budgets, in the absence of reliable information from the old PCTs, the incoming PCT finance department relied on the descriptions of the LESs in the merged ledger. As a result, we are aware that some of the individual enhanced service budgets

may be incorrectly labelled or incorrectly attributed. This would not have affected the overall level of the budget.

The finance directorate understands that during 2007/08 significant progress has been made by the primary care directorate in codifying and allocating all of the DES and NES arrangements, and that a review has taken place of LESs in both sides of the county. This will significantly help localities in their budget-setting for 2008/09.

Currently there is also a smoking cessation LES which is not reflected in the LES budget attached. Discussions are ongoing as to the transfer of this budget into the general Enhanced Services Budget from 1st April 2008.

Devolution of enhanced services budgets to localities

All of the enhanced services budgets, except smoking cessation provided by pharmacists, are within the scope of PBC budgets in 2007/08.

This means that the localities' financial performance reflects the services received by their populations, although for good reasons, the DESs and NESs are contracted for by the PCT.

During 2007/08 there was an undertaking by localities to review all local enhanced services, in order to standardise the various approaches inherited from the former PCTs. This exercise was originally scheduled to be completed by 30th September 2007 and now is expected to be completed by localities by 31st March, and will affect the LESs commissioned in 2008/09.

The finance directorate understands that pending the outcome of the review, LESS have by and large been rolled forward from 2006/07.

GMS Enhanced services - how the PCT shared out the 2007/08 PCT budget across localities' PBC budgets

- Directed (DESs)
 - Old DESs - pro-rata to 2006/07 outturn
 - New DESs – shared out on list size
- National (NESs): pro-rata to 2006/07 outturn
- Local (LESs): after much discussion, and no consensus, shared out on weighted capitation

The decision to share out the LES budget may have created pressures in some localities while giving some leeway to others.

Financial reporting

The expenditure position on enhanced services is shared regularly with budget-holders and is attached here for ease of reference (Month 9). The reports show how much has been passed for payment and how much has been accrued to the end of month 9. Accruals are based on the assumption that, for DES and NESs, the budget is being fully spent, except for some specific lines, where budgetary pressures are anticipated.

The forecast outturn assumes that the LES budget will be fully utilised. Localities will be best place to judge the value of their commitments.

2008/09

It is expected that enhanced services will remain within the scope of PBC budgets in 2008/09, though it is important that the DESs and NESs continue to be commissioned by the PCT's primary care team, partly in order to maintain the commissioner – provider distinction, and partly to ensure that current commissioning expertise is fully utilised.

PBC groups will need to set financial plans for 2008/09 that achieve all mandatory targets as well as staying within budget. Within these parameters, PBC groups will have to work with PCT staff to set budgets for acute commissioning, prescribing, mental health, enhanced services, and so on.

I do not anticipate major changes to DESs and NESs other than those announced by the Department of Health.

The PBC governance committee currently reserves the right to approve or disapprove new LESs proposed by the localities. It is intended that delegated limits will be set for approval of new LESs by Locality leads without reference to the Committee. Locality leads will need to be independent of practices. There will for governance reasons have to be a system of independent scrutiny of all enhanced services commissioned by localities from GPs.

Tad Woroniecki
15 February 2008

West Hertfordshire Primary Care Trust

Primary Health Care

Budget Holder: Suzanne Novak

#REF!

Local Enhanced Services	Annual Budget	Budget to Date	Expenditure YTD		Total	Cumulative YTD Surplus/Deficit	Forecast 2008	Year End 2008	FY12 Forecast
			now GL	Accrued					
GMS LES - Nursing Homes & Special Schools	273,247	204,935	123,417		123,417	81,518			
PMS LES - Nursing Homes	94,189	70,642	71,564		71,564	(922)			
CHD									
LES - Echocardiography	3,960	2,970	11,960	2,750	14,710	(11,740)			
Mobile Phone Costs			49		49				
Counselling									
LES - Counselling	627,640	470,730	384,783	11,041	375,824	94,906			
Diabetes									
LES - Diabetes	30,905	23,179	12,380		12,380	10,799			
LES - Insulin Initiation	0	0	0		0	0			
Diagnostics									
LES - Sigmoidoscopy	22,227	16,670	2,018		2,018	14,652			
LES - Endoscopy	0	0	0		0	0			
ENT									
LES - Tympanometry	3,914	2,936	0		0	2,936			
LES - Nasal Cautery	0	0	0		0	0			
LES - Audiology	0	0	0		0	0			
Gynaecology									
GMS LES - Emergency Hormonal Contraception	1,528	1,146	0		0	1,146			
LES - Gynaecology	0	0	11,390		11,390	(11,390)			
PMS LES - Gynaecology	0	0	720		720				
Other Gynae non-pay	0	0	520		520	(520)			
Ophthalmology									
LES - Action on Cataracts	2,730	2,048	1,785		1,785	263			
Orthopaedics									
LES - Rheumatology	(3,237)	(2,428)	(2,000)		(2,000)	(428)			
LES - Orthopaedics Triage	623,397	467,548	0		0	467,548			
LES - Back Pain Clinic	256,022	192,017	5,831		5,831	186,186			
LES - TII Musculo Skeletal	64,915	48,686	24,527		24,527	24,159			
GMS LES - Palliative Care	0	0	1,000		1,000	(1,000)			
LES - Private Physio	0	0	696,196	2,493	696,689	(696,689)			
Phlebotomy									
LES - Phlebotomy	20,022	15,017	2,284		2,284	12,733			
Other Phlebotomy non-pay	0	0	270		270	(270)			
Respiratory									
LES - Smoking Cessation	55,764	41,838	58,435		58,435	(16,597)			
LES - COPD	0	0	0		0	0			
Skin Health									
LES - Cryotherapy	381	286	0		0	286			
LES - Dermatology	20,318	15,239	13,662		13,662	1,577			
GMS LES - Plastics	18,250	13,688	0		0	13,688			
LES - Treatment Room Support	50,812	38,109	0		0	38,109			
LES - Minor Treatments	220,866	165,650	24,515		24,515	141,135			
LES - Precancer Clinic	0	0	0		0	0			
LES - Minor Treatment Room	11,060	8,295	153,635		153,635	(145,340)			
LES - Minor Treatment Room/Minor Surgery	28,990	21,743	411		411	21,332			
GMS LES - Leg Ulcer Clinic	1,375	1,031	0		0	1,031			
Vaccs and Imms									
LES - MMR Catch Up	29,909	22,432	0		0	22,432			
GMS LES - Hib Catch-Up	0	0	3,567		3,567	(3,567)			
LES - Hepatitis B Imms (N/Travel)	56,361	42,271	0		0	42,271			
LES - Pneumococcal Children	20,765	15,574	0		0	15,574			
Vasectomy									
LES - Vasectomy	22,519	16,889	47,558	2,827	50,385	(33,496)			
Other vasectomy non-pay	0	0	1,362		1,362	(1,362)			
PBC 2007-08									
LES - Validation Reports	7,225	5,419	0		0	5,419			
LES - PCIP	0	0	0		0	0			
LES - Monitoring & Man. Secondary Care	0	0	0		0	0			
LES - Locality Management Funds	1,572,957	1,179,718	901,099	215,619	1,116,718	63,000	86	1.487	
Homeless									
LES - Care for the Homeless	32,755	24,566	0		0	24,566			
Temporary									
LES - CDM	0	0	0		0	0			
GMS LES - Patient Hosp Transport	3,021	2,266	16		16	2,250			
LES - Acupuncture	0	0	0		0	0			
GMS LES - Palliative Care	0	0	17,598		17,598	(17,598)			
GMS LES - OOH	0	0	0		0	0			
LES - Gold Standard & Palliative Care	(8,585)	(6,439)	521		521	(6,960)			
PMS LES - Nursing Support	27,367	20,525	0		0	20,525			
LES - Enhanced Primary Care Nursing	45	34	69,377		69,377	(69,343)			
GMS LES - Practitioner Training Programme	648	485	0		0	485			
GMS LES - Primary MH Worker	60,000	45,000	91,717		91,717	(46,717)			
GMS LES - Clinical Behaviour Therapy Contract	77,720	58,290	39,140		39,140	19,150			
GMS LES - Beating the Blues	0	0	0		0	0			
GMS LES - Hib Catch-Up	0	0	0		0	0			
GMS Other	0	0	1,300	1,283	2,583	(2,583)			
TOTAL LES EXPENDITURE	4,332,000	3,249,000	2,752,907	238,019	2,990,926	297,149	86	1.487	

West Hertfordshire Primary Care Trust

Primary Health Care

Budget Statement to 31st December 2007

2,779,522

Budget Holder: John Phipps

Enhanced Services - DES & NES	Annual Budget	Budget to Date	Expenditure YTD per GL	Total	Cumulative YTD Surplus/(Deficit)	Forecast Year End Surplus/(Deficit)	M12 Forecast
	£	£	£	£	£	£'000	£'000
GMS Enhanced Services							
GMS DES - Improved Access	903,676	677,757	261,049	371,708	45,000	61	843
GMS DES - PBC Costs	462,637	346,978	384,652	(37,674)	(0)	(0)	463
GMS DES - Choose & Book	16,373	12,280	167,970	108,490	(365,180)	(354)	370
GMS DES - IM&T Systems	222,106	166,579	108,449	172,335	(114,205)	(152)	374
	1,504,791	1,203,593	922,120	615,859	(334,386)	(445)	2,050
GMS DES - Quality Info Prep							
GMS DES - Childhood Vac & Imms	20,000	15,000	(20,000)	35,000	0	0	20
GMS DES - Influenza Imms	983,617	737,713	841,129	(103,416)	0	0	984
GMS DES - Services for Violent Patients	608,998	456,749	40,233	416,516	0	0	609
GMS DES - Minor Surgery	4,391	3,293	4,925	(1,632)	0	0	4
	501,022	375,767	236,672	139,095	0	0	501
Total GMS DES	3,722,819	2,782,114	2,025,070	1,101,421	(334,386)	(445)	4,168
GMS NES - IUCCD							
GMS NES - Drug Misuse	219,659	164,744	100,858	63,886	164,744	0	220
GMS NES - Anti Coagulation	61,857	46,393	(12,786)	0	(12,786)	0	12
GMS NES - Near Patient Testing	123,938	92,954	58,765	34,189	92,954	0	124
GMS NES - Multi Sclerosis	60,459	45,344	40,412	4,932	45,344	0	60
GMS NES - Pneumococcal	27,993	20,995	12,710	8,285	20,995	0	28
GMS NES - Minor Injury	56,329	42,247	7,473	34,774	42,247	0	56
GMS NES - Eye Screening	0	0	0	0	0	0	0
GMS NES - Specialised Sexual Health	897	50,099	10,362	39,737	50,099	0	67
	66,799	50,099	10,362	39,737	50,099	0	67
Total GMS NES	617,931	462,776	218,272	184,870	403,597	50	567
PMS Enhanced Services							
PMS DES - Improved Access	158,473	119,854	49,268	69,586	118,854	0	158
PMS DES - PBC Costs	61,130	60,847	62,461	(1,614)	60,847	0	61
PMS DES - Choose & Book	2,871	2,153	1,213	46,505	47,818	(61)	61
PMS DES - IM&T Systems	38,949	29,212	19,740	28,650	48,390	(26)	65
	281,423	211,067	132,682	143,227	275,909	(96)	368
PMS DES - Childhood Vac & Imms							
PMS DES - Influenza Imms	133,071	99,803	3,169	96,634	99,803	0	133
PMS DES - Services for Violent Patients	0	0	0	0	0	0	0
PMS DES - Minor Surgery	24,688	18,516	16,325	2,190	18,516	0	25
Total PMS DES	439,182	329,837	156,758	242,052	398,810	(85)	525
PMS NES - IUCCD							
PMS NES - Drug Misuse	13,435	10,076	6,355	3,721	10,076	0	13
PMS NES - Anti Coagulation	9,111	6,833	4,829	(4,829)	0	0	0
PMS NES - Near Patient Testing	25,257	18,943	10,071	8,872	18,943	0	25
PMS NES - Multi Sclerosis	13,668	10,251	7,095	3,156	10,251	0	14
PMS NES - Pneumococcal	15,841	11,881	6,820	5,061	11,881	0	16
PMS NES - Specialised Sexual Health	19,775	14,831	1,752	13,079	14,831	0	20
	1,765	1,316	5,577	(4,261)	1,316	0	2
Total PMS NES	95,842	74,132	42,499	24,799	67,298	9	90
TOTAL EXPENDITURE	4,878,774	3,658,408	2,448,063	1,555,442	3,996,205	(473)	5,351